JC03 Rec'd PCT/PTO 1 0 MAY 2009

FORM PTO-1390 ERCE PATENT & TRADEMARK OFFICE US DEPARTMENT OF 0 **ATTORNEY** KET NUMBER (REV. 01-2003) 123478 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 10/531,037 Surcharge **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/DE2003/003460 October 16, 2003 October 16, 2002 TITLE OF INVENTION WATER PURIFICATION WITH CATALYTIC SURFACES AND MICROORGANISMS APPLICANT FOR DO/FO/US Christian UPHOFF Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. M This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a.

 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). c.

The International Application was filed in English. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a.

are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. An oath or declaration of the inventor (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 10. (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. П An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 20. Other items or information:

U.S. APPLICATION NO. (if know 10/531,037	n, see 37 C.F.F	INTERNATIONAL APPLICATION NO. PCT/DE2003/003460		AT CONTROL OF THE PROPERTY OF	
21. The following fees are submitted:			CALCULATIONS	PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a)): \$300.00				\$	
SEARCH FEE (37 CFR 1.492(b)(1)-(3)):				\$	
International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA \$ 100.00					
International search report provided to USPTO no later than the time at which the search fee is paid\$ 400.00					
All situations not provided for above					
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):				\$	
International preliminary examination report prepared by the USPTO as IPEA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage					
All situations not provided for above\$ 200.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the				\$130.00	
earliest claimed priority da	<u>ite (37 CFR 1.492(e))</u>)			
TOTAL PAGES OF APPLICATION OVER 100 (- 100)	÷ 50	= †	x 250 =	\$	
†round up to next integ					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
TOTAL CLAIMS	- 20	=	x 50.00 =	\$	
INDEPENDENT CLAIMS	1	= a	x 200.00 = + 360.00 =	\$	·····
MULTIPLE DEPENDENT CLAIM(S)(if applicable) + 360.00 = TOTAL OF ABOVE CALCULATIONS =				\$ \$130.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$	
SUBTOTAL =				\$130.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$130.00	
·				Amount to be	
				refunded: charged:	\$
a.					Φ
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
 c.					
d. Fees are to be information s	charged to a credit chould not be include	ard. WARNING: Informed on this form. Provided on this form.	mation on this form made credit card informa	ay become public. Co tion and authorization	redit card n on PTO-2038.
must be filed a	nd granted to restor	der 37 CFR 1.495 has e the application to pe	not been met, a petitending status.	tion to revive (37 CF	R 1.137(a) or (b))
SEND ALL CORRESPONDENCE TO:					
OLIFF & BERRIDGE, PLC Customer Number: 25944 NAME: Jame				s A. Oliff	
REGISTRATIO				ON NUMBER: 27,0	75
Date <u>May 10, 2005</u> NAME: Thor					
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